

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q68366

Shigeru SASAKI, et al.

Appln. No. 10/062,435

Group Art Unit: 1711

Confirmation No.: 2654 Examiner: Unknown

Filed: February 05, 2002

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INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents Washington, D.C. 20231

Sir:

For:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) (substitute for PTO Form 1449) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith.

- 1. World Patent No. 00/66254, published November 9, 2000 with Abstract.
- 2. U.S. Patent No. 3,748,306 issued July 24, 1973.
- 3. U.S. Patent No. 4,008,191 issued February 15, 1977.
- 4. U.S. Patent No. 4,996,271 issued February 26, 1991.
- 5. C. Djerassi, "Brominations with N-Bromosuccinimide and Related Compounds The Wohl-Ziegler Reaction", Chemical Reviews, American Chemical, (1984), pp. 271-317.

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Shigeru SASAKI et al. 10/062,435

INFORMATION DISCLOSURE STATEMENT

6. M.C. Carmen et al., "N-Bromosuccinimide in Acetonitrile: A Mild and Regiospecific Nuclear

Brominating Reagent for Methoxybenzenes and Naphthalenes", Journal of Organic

Chemistry, American Chemical Society, Vol. 60, No. 16, (August 11, 1995), pp. 5328-5331.

The present Information Disclosure Statement is being filed: (1) No later than three months from

the application's filing date for an application other than a continued prosecution application (CPA) under

§1.53(d); (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3)

Before the mailing date of the first Office Action after filing a request for continued examination (RCE)

under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is

required.

Applicant encloses herewith a copy of a corresponding Foreign Search Report citing such

documents and indicating the degree of relevance found by the foreign patent office.

The submission of the listed documents is not intended as an admission that any such document

constitutes prior art against the claims of the present application. Applicant does not waive any right to

take any action that would be appropriate to antedate or otherwise remove any listed document as a

competent reference against the claims of the present application.

Respectfully submitted.

Registration No. 24,625

J. Frank Osha

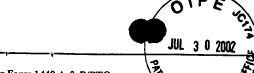
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Date: July 30, 2002

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Substitute for Form 1449 A & B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet	1	of	ī

Сотр	olete if Known	
Application Number	10/062,435	_
Confirmation Number	2654	
Filing Date	February 05, 2002	
First Named Inventor	Shigeru SASAKI	
Art Unit	1711	٦
Examiner Name	Unknown	┨
Attorney Docket Number	Q68366	↿

Examiner Initials*	Cite No.1	Document Number		PATENT DOCU		
		Number	Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	
		US 3,748,306	US	07/24/1973	Khattab	
		US 4,008,191	US	02/15/1977	Jagur-Grodzinski et al.	
		US 4,996,271	US	02/26/1991	Guiver et al.	
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	Cite	Foreign Patent Document			Publication Date	Name of Patentee or	<u> </u>
	No.1	Country Code ³	Number ⁴	Kind Code ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation ⁶
	 	wo	00/66254	A1	11/09/2000		Abstract
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Examiner	T 6'4	OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation
		C. Djerassi, "Brominations with N-Bromosuccinimide and Related Compounds The Wohl-Ziegler Reaction", Chemical Reviews, American Chemical Society, (1984), pp. 271-317.	
		M.C. Carreno et al., "N-Bromosuccinimide in Acetonitrile: A Brominating Reagent for Methoxybenzenes and Naphthalenes", Journal of Organic Chemistry, American Chemistry Society, Vol. 60, No. 16, (August 11, 1995), pp. 5328-5331.	

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Examiner Signature	 Date Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.